

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
4836A

In Re Application Of: Kelkar et al.

MAR 18 2004

Serial No.  
10/756,095Filing Date  
January 13, 2004

Examiner

Group Art Unit

Title: NOx Reduction Composition for Use in FCC Processes

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

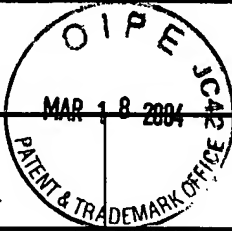
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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In Re Application: Kelkar et al.



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10/756,095

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Group Art Unit

NOx Reduction Composition for Use in FCC Processes

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited March 17, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



\_\_\_\_\_  
Signature of Person Mailing Correspondence

Stuart D. Frenkel

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
\_\_\_\_\_  
Signature

Dated: March 17, 2004

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Docket No. 4836A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Kelkar et al.  
Serial No.: 10/756,095  
Date Filed: January 13, 2004  
Title: NOx Reduction Composition for Use in FCC Processes  
Art Unit:  
Examiner:

March 17, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: 10/001,485  
Filing Date: November 23, 2001

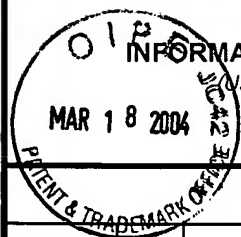
Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 3/17/04



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

4836A

SERIAL NO.

10/756,095

Kelkar et al.

FILING

January 13, 2004

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	U.S. 3,957,689	05/18/76	Ostermaier et al.			
	U.S. 4,153,535	05/08/79	Vasalos et al.			
	U.S. 4,458,623	07/10/84	Lovqvist			
	U.S. 4,469,589	09/04/84	Yoo et al.			
	U.S. 4,472,267	09/18/84	Yoo et al.			
	U.S. 4,492,678	01/08/85	Yoo et al.			
	U.S. 4,495,304	01/22/85	Yoo et al.			
	U.S. 4,495,305	01/22/85	Yoo et al.			
	U.S. 4,499,197	02/12/85	Seese et al.			
	U.S. 4,542,188	09/17/85	Van der Heijden			
	U.S. 4,628,042	12/09/86	Speronello			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	ES 2 092 429	11/16/96	Spain				
	WO 95/03876	09/02/95	PCT (Abstract) and Search Re				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 4836A		SERIAL NO. 10/756,095	
				Kelkar et al.			
				FILING January 13, 2004		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		U.S. 4,728,635	03/01/88	Bhattacharyya et al.			
		U.S. 4,790,982	12/13/88	Yoo et al.			
		U.S. 5,002,654	03/26/91	Chin			
		U.S. 5,021,146	06/04/91	Chin			
		U.S. 5,037,538	08/06/91	Chin et al.			
		U.S. 5,085,762	02/04/92	Absil et al.			
		U.S. 5,194,413	03/16/93	Kumar			
		U.S. 5,364,517	11/15/94	Dieckmann et al.			
		U.S. 5,565,181	10/15/96	Dieckmann et al.			
		U.S. 5,591,418	01/07/97	Bhattacharyya et al.			
		U.S. 5,750,020	05/12/98	Bhattacharyya et al.			

FOREIGN PATENT DOCUMENTS								
*	EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>4836A</b>	SERIAL NO. <b>10/756,095</b>
	<b>Kelkar et al.</b>	
	FILING <b>January 13, 2004</b>	GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	U.S. 6,107,240	08/22/00	Wu et al.			
	U.S. 6,143,167	11/07/00	Peters et al.			
	U.S. 6,165,933	12/26/00	Peters et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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